Bank of Bermuda

*Member* HSBC *Group*

# Investment Account Opening Form

*To be completed by all applicants*

## Client information

| | |
|---|---|
| Name | Permanent Residential Address (Do not use P.O. Box) ☐ Owned ☐ Leased |
| Date of Birth (dd/mm/yy) / Gender ☐ Male ☐ Female | |
| Nationality | Mailing address (if different) |
| Passport no. | |
| Second nationality *(For dual citizenships)* | Introduced by |
| Occupation/profession | Mother's maiden name |
| Marital Status and Spouse's Name (if applicable) | Employer's name and address |
| Spouse's occupation/profession | |
| No. of dependents | Spouse's employer's name and address |
| Ages | |

## Contact information

| | |
|---|---|
| Business telephone | Fax |
| Home telephone / mobile | Email |
| Mobile | Website |

## Asset information

| | |
|---|---|
| Primary Purpose of Account | Applicant(s) Estimated Gross Annual Income |
| Source of Funds | Applicant(s) Source of Income |
| Source of Assets to be Deposited | Bank Reference Name & Address |
| Description of Assets to be Deposited (ie Stocks, Bonds etc.) | Account Base Currency (USD, CAD, GBP, EURO etc.) |
| Account Assets to be Managed by | Estimated future value of assets to be deposited |
| Estimated current value of assets to be deposited | Estimated date(s) and frequency for future value of assets to be deposited |

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## Investment information

| | |
|---|---|
| Investment goal(s) (retirement/education/car/home/other) | List other investments and their current estimated value |
| Investment objective (capital preservation/income/growth/speculation/other) | |
| Investment time horizon(s) | |
| Investment liquidity requirements (currently plan to use assets before noted goals are met) | List other assets and their current estimated value |
| Previous Investment experience (stocks/bonds/time deposits/mutual funds/bank accounts/other) | |
| Years of Investment experience | |
| Desired rate of return on investment | List other debts/loans and their current estimated balance and rate of interest |
| Overall investor type/risk tolerance* (conservative/balanced/growth/aggressive) | |

* Please ask to use our Investor Profile Questionnaire if you require assistance in determining your investor type/risk tolerance.

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# Custody Account Opening Form

| | |
|---|---|
| TO:<br>THE BANK OF BERMUDA LIMITED | I/We the undersigned request you to establish an account pursuant to the Investment Account Terms and Conditions (the "Terms") in my/our name(s) to hold Investments (which includes cash) as my/our custodian on the following terms<br><br>1. For Joint Accounts, instructions may be given by: ☐ Either/any one of us ☐ Any two of us ☐ All of us jointly<br><br>2. The Investments are held by us as: ☐ Joint tenants ☐ Tenants in common<br>*(Note: Unless otherwise specified, Investments are deemed held as joint tenants. Investments pass automatically to survivor if joint tenants. Investments of deceased tenant in common pass according to terms of will or intestacy)* |
| Risk disclosure | I acknowledge having received a copy of the Terms that will govern the operation of the Account in force at the date of this Application.<br>I have also received a Term Sheet and/or Prospectus and any related disclosure(s) for any applicable underlying investments held in this account.<br>I understand the nature of such investments and I have carefully considered whether such investments are suitable for me in light of my circumstances and financial resources. I confirm to you that I am able, financially and otherwise, to assume the risks of such trading. I recognise that guarantees of profit or freedom from loss are impossible and inappropriate in such trading and I acknowledge that I have received no such guarantees from any Bank of Bermuda officers or employees and have not entered into this Agreement in consideration of or reliance upon any guarantees or representations.<br>By initialing the Risk Disclosure section and by signing below, I hereby acknowledge that I have read and understand the applicable disclosures and that I have had the opportunity to ask questions regarding the disclosures. If my account was opened through a representative of the Bank of Bermuda, I also acknowledge that the representative thoroughly explained the disclosures to me.<br><br>Client(s) initials \| Bank of Bermuda representative signature |
| Account name | |
| Documents required | For each Beneficial owner and all account signatories we require:<br>(i) Bank references and certified copy of passport<br>(ii) Completed Investment Account Opening Form<br>(iii) Completed W8 form for non-U.S. persons or W9 for U.S. persons |
| Authorised signatories | Signature \| Signature<br>Name \| Name<br>Signature \| Signature<br>Name \| Name<br>Signature \| Signature<br>Name \| Name<br>Date |