

### A - Customer Information

Customer name(s)/Business name(s)

Date of birth (dd/mm/yyyy) (if applicable) Telephone no.

Telephone no. (work)

Fax no.

<input type="text"/>	<input type="text"/>	<input type="text"/>	<input type="text"/>
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E-mail

Legal address (no P.O. box or care of addresses)

Mailing address

Please indicate the source of the funds you are investing

### B - Authorisations

Section G of this HSBC Managed Portfolios Application must be completed when electing this option

#### Joint Registrations

We request you to register our joint names/Business name and we hereby authorise you from time to time until we give you written notice to the contrary to honour all orders for subsequent subscriptions, redemptions or switches when signed by:

Any of the Authorised Signatories  All Authorised Signatories

#### Statements

Account Statement by E-mail or Facsimile (tick one)  E-mail  Facsimile

We wish to continue receiving hard copies of these statements.  Yes  No

### C - Investment Instructions

Code	Portfolio Name	Class Name	Initial Investment	FEL	Net	Gross
4053	Income and Growth*	<input type="checkbox"/> AD			<input type="checkbox"/>	<input type="checkbox"/>
4045	Income and Growth*	<input type="checkbox"/> AC			<input type="checkbox"/>	<input type="checkbox"/>
4055	Balanced Portfolio*	<input type="checkbox"/> AD			<input type="checkbox"/>	<input type="checkbox"/>
4047	Balanced Portfolio*	<input type="checkbox"/> AC			<input type="checkbox"/>	<input type="checkbox"/>
4049	Capital Growth*	<input type="checkbox"/> AC			<input type="checkbox"/>	<input type="checkbox"/>
4051	Strategic Equity*	<input type="checkbox"/> AC			<input type="checkbox"/>	<input type="checkbox"/>
4054	Income and Growth**	<input type="checkbox"/> IC			<input type="checkbox"/>	<input type="checkbox"/>
4056	Balanced Portfolio**	<input type="checkbox"/> IC			<input type="checkbox"/>	<input type="checkbox"/>
4057	Capital Growth**	<input type="checkbox"/> IC			<input type="checkbox"/>	<input type="checkbox"/>
4058	Strategic Equity**	<input type="checkbox"/> IC			<input type="checkbox"/>	<input type="checkbox"/>

\* Minimum initial investment is US \$10,000.

\*\* Minimum initial investment is US \$200,000

#### FOR BANK USE ONLY

Dealer no.	Customer no.
<input type="text"/>	<input type="text"/>

## D - Payment

I/We will make payment of the investment noted by:

- cheque/bank draft made payable to HSBC Managed Portfolios Limited
- telegraphic transfer (Please see Section E of this HSBC Managed Portfolios Application for instructions)
- debit to our Bank of Bermuda account number:

## E - Telegraphic Transfer Details (subscriptions)

Correspondent Banks

### US Dollar - USD

HSBC Bank USA  
452 Fifth Avenue  
New York, N.Y. 10018  
FED ABA No. 021001088  
CHIPS UID 0108  
SWIFT MRMD US33

### Beneficiary Bank

The Bank of Bermuda Limited  
Hamilton, Bermuda SWIFT BBDA  
BMHM

### Beneficiary Name

HSBC Managed Portfolios Limited  
A/C# 010-069672-581 USD

Customer Account Name

## F - Dividend Instructions\*

- I/We hereby agree that unless otherwise instructed all dividend cheques should be sent to the Customer by regular first class mail.
- I/We hereby agree that unless otherwise instructed all dividends should be sent to the account identified in Section G.

\*There are no dividends for Class IC.

## G - Redemption Settlement Instructions

No third party payments

- By cheque to registered address
- By telegraphic transfer\*
- By credit to my/our account

Account Name

Account no.

Payment Reference (optional)

\*Bank fee incurred on wire transfers

Name of Bank

Bank Contact

Bank Address

Postcode

Country

Bank Telephone Number

## Anti-Money Laundering

**For individual\* applicants, include:**

\*Documents should be **certified** by a Bank Officer, Lawyer, Notary Public, Accountant, Embassy or Government Ministry

- ▶ Copy of Passport or national identification card (for each applicant named on application)
- ▶ Copy of proof of residency document i.e. copy of recent utility bill or bank statement

**For corporate\* applicants, include:**

\*See next page.

**For corporate\* applicants, include:**

\*Documents should be **certified** by a Bank Officer, Lawyer, Notary Public, Accountant, Embassy or Government Ministry

- ▶ Copy of Certificate of Incorporation and Memorandum and Articles of Association (or equivalent);
- ▶ Copy of Passport and copy of proof of residency document i.e. copy of recent utility bill or bank statement for all directors, principal shareholders and authorised signatories empowered to issue instructions on behalf of the entity;
- ▶ List of all Directors;
- ▶ Copy of list of authorised signatories on the account with specimen signatures;
- ▶ Evidence that the entity and individuals are empowered to open an investment account of the type requested.

**For Trust Company\* applicants, include:**

\*Documents should be **certified** by a Bank Officer, Lawyer, Notary Public, Accountant, Embassy or Government Ministry

- ▶ The Trust Deed (just the first page, relevant clause that shows the Trustee's investment powers, copy of relevant clause showing the proper law of the Trust, and signature page to show it has been properly constituted);
- ▶ A document confirming legitimate appointment of the Trustee (if necessary to show appointment of current Trustees);
- ▶ Copy of the Trustee Resolution (resolution to authorise the investment);
- ▶ List of authorised signatures of the Corporate Trustees who are able to sign on behalf of the Trust e.g. a signature card;
- ▶ Copy of Passport ID and proof of Residence of the Settlor and the Primary Beneficiaries;
- ▶ Copy of Passport ID of the Trustee or Co-Trustees (if an individual); and copy of Passport ID of the Protector (if applicable).

## Declarations

**Legal Capacity:** I/We represent that I/we am/are over 18 (eighteen) years of age and have the full right, all necessary power, legal capacity and authority to make the investment pursuant to this Application Form whether the investment is my/our own or is made on behalf of another person or entity and that I/we am/are/will not be in breach of any law or regulations of any competent jurisdiction and I/we hereby indemnify the Company, the Manager, the Custodian, the Administrator and other shareholders for any loss suffered by them as a result of these representations not being true in every respect.

**Declaration US Person:** I/We declare that I/we am/are not a "US Person" (see below) and that I/we am/are not applying as the nominee for or on behalf of a US Person. I/We will notify the Company immediately if I/we become a US Person or become aware that any person for whom I/we hold Shares has become a US Person.

**Instructions:** I/We confirm that the Company, the Directors, the Administrator and the Bank are each authorised and instructed to accept and execute any instructions in respect of the Shares to which this application relates given by me/us by Electronic Means with scanned signature acceptable to the Company. If instructions are given by me/us by Electronic Means with scanned signature, I/we undertake to confirm them in writing. I/We hereby indemnify the Company, the Directors, the Administrator and the Bank and agree to keep each of them indemnified, against any loss of any nature whatsoever arising to each of them as a result of any of them acting on Electronic Means with scanned signature instructions. The Company, the Directors, the Administrators and the Bank may rely conclusively upon and shall incur no liability in respect of any action taken upon such notice, consent, instructions or other instrument believed, in good faith, to be genuine or to be signed by properly authorised persons.

**Prospectus:** I/We acknowledge and accept that this application is made solely upon the current Prospectus and Bye-laws of HSBC Managed Portfolios Limited.

**General/Important Information:** I/We confirm that I/we have (i) considered the appropriateness of an investment in the Company, (ii) reviewed the associated risk factors, and (iii) where necessary, have obtained suitable guidance. I/We also affirm that based on the above confirmation, I am/we are financially or otherwise able to assume these risks.

I/We acknowledge and accept that while it is the aim of the Company to preserve capital and, where applicable, to maintain a stable net asset value per share, these aims are not guaranteed.

**Consent:** I/We acknowledge that due to money laundering requirements operating within its jurisdiction, the Administrator may require further identification of the applicant as described in the Prospectus before the application can be processed or subscription monies accepted, and that the Company and the Administrator shall be held harmless and indemnified against any loss arising due to the failure to process this application if such information as has been required has not been provided by me/us. I/We consent to details relating to my/our application and holding being disclosed to companies in the HSBC Group, which perform marketing and investor servicing duties and acknowledge that I/we may be sent details of other HSBC Group investment products.

**Telephone Recording:** I/We consent to the Manager, the Custodian, the Administrator and the Bank of Bermuda to record all telephone conversations between me/us and the Manager, the Custodian, the Administrator and the Bank and any such tape recordings may be submitted in evidence in any proceedings relating to the Company.

### Definitions:

"Company" means HSBC Managed Portfolios Limited.

"Electronic Means" includes communications sent by email, facsimile, computer or by the use of scanned or digital signatures or any other electronic means.

"US Person" means a person resident in the United States of America (including the States and the District of Columbia), its territories, possessions and all other areas subject to its jurisdiction, a corporation, partnership or other entity created or organised in or under the laws of the US, an estate or trust treated as a resident of the US for income tax purposes, or any person falling within the definition of the term "US Person" under Regulation S of the U.S. Securities Act 1933, as amended or in the US Investment Company Act of 1940, as amended.

Print Name

Authorised Signatories

Date (dd/mm/yyyy)

Print Name

Authorised Signatories

Date (dd/mm/yyyy)

Signature for a Corporation should be executed under seal or signed by a duly authorised signatory, provided authenticated list of signatories accompanies the application. If this application is signed under power of attorney, such power of attorney or a duly certified copy thereof must accompany this application form.